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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/824,584

04/08/2004

Stephen Hart

20040117.ORI -

23595 NIKOLAI & MERSEREAU, P.A. 900 SECOND AVENUE SOUTH **SUITE 820** MINNEAPOLIS, MN 55402

CONFIRMATION NO. 6640 FORMALITIES LETTER

Date Mailed: 10/04/2006

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

 This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.

To Download Patentin Software, visit http://www.uspto.gov/web/patents/software.htm For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday,
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Replies should be mailed to:

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Commissioner for Patents

P.O. Box 1450 Alexandria VA 22313-1450

A-copy of this notice MUST be returned with the reply.

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382
PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.upto.gov

APPLICATION NUMBER FILING OR 371(c) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

10/824,584 04/08/2004 Stephen Hart 20040117.ORI

23595 NIKOLAI & MERSEREAU, P.A. 900 SECOND AVENUE SOUTH SUITE 820 MINNEAPOLIS, MN 55402 CONFIRMATION NO. 6640 WITHDRAWAL NOTICE

Date Mailed: 10/04/2006

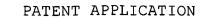
WITHDRAWAL OF PREVIOUSLY SENT-NOTICE

The Notice mailed on 03/17/2005 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the corrected Notice. The Office regrets any inconvenience the error may have caused.

A copy of this notice <u>MUST</u> be returned with the reply.

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PART 1 - ATTORNEY/APPLICANT COPY



OUR FILE NO. 20040117.ORI

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Stephen Hart et al

S.N. : 10/824,584 : December 1, 2006

Filed : April 8, 2004 : Art Unit 1633

For : CONTROL OF GENE EXPRESSION

USING A COMPLEX OF AN

OLIGONUCLEOTIDE AND A REGULATORY PEPTIDE

LETTER

Mail Stop Missing Parts Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This paper is submitted in response to the Notification of Missing Requirements dated October 4, 2006 (copy attached), in the above-captioned application.

To comply with the Notice of Missing Requirements, applicants are submitting herewith a copy of the "Sequence Listing" in computer readable form (CRF), together with a copy in written form and a Verification Summary Sheet. The undersigned attorney hereby states that to the best of his present knowledge and belief, the information recorded in computer readable form is identical in content to the written "Sequence Listing" and to that contained in the application.

Conforming amendments have also been made adding SEQ ID NO's

to the sequences in the specification.

The Commissioner is authorized to charge any additional fees or refund any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 08-1265.

Respectfully submitted,

Cof Mersereau

NIKOLAI & MERSEREAU, P.A.

C. G. Mersereau

Registration No. 26,205 Attorneys for Applicant(s) 900 Second Avenue South Suite 820

Minneapolis, MN 55402 (612) 339-7461

CERTIFICATE OF MAILING

Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed October 4, 2006, a copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), a copy of the "Sequence Listing" in computer readable form (CRF), together with a copy in written form, a Verification Summary Sheet and an Amendment in application Serial No. 10/824,584, filed on April 8, 2004, of Stephen Hart et al, entitled "CONTROL OF GENE EXPRESSION USING A COMPLEX OF AN OLIGONUCLEOTIDE AND A REGULATORY PEPTIDE", are being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, postage prepaid, on December 1, 2006.

Barbara L. Davis

on behalf of C. G. Mersereau

Attorney for Applicant

Date of Signature: December 1, 2006